

15w 1645

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

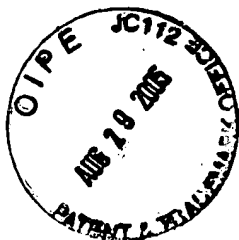
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/523,659	
	Filing Date	February 4, 2005	
	First Named Inventor	Christopher N. Bowman	
	Group Art Unit	1645	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	61-000210US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Submission of Power of Attorney Letter	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input checked="" type="checkbox"/> Copy of Assignment – Not For Recordation	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Power of Attorney & Correspondence Address	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Christopher C. Sappenfield, Reg. No. 45,073, Quine Intellectual Property Law Group P.C.
Signature	
Date	August 25, 2005

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO via fax no. 703-306-5995 or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Deborah Berwick		
Signature		Date	August 25, 2005



I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to:
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on August 25, 2005

By Deborah Berwick
Deborah Berwick, QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 61-000210US
Client Reference No.: CU1024B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christopher N. Bowman, et al.

Examiner: Unassigned

Application No.: 10/523,659

Art Unit: 1645

Filed: February 4, 2005

For: POLYMER DERIVED CERAMIC
MATERIALS

SUBMISSION OF POWER OF
ATTORNEY AND CORRESPONDENCE
ADDRESS INDICATION FORM

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

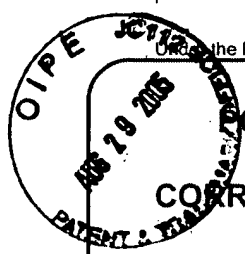
Please make of record the following enclosed documents regarding the above-identified application:

- 1) Power of Attorney and Correspondence Address Indication Form;
- 2) Statement Under 37 CFR 3.73(b); and,
- 3) Copy of Assignment document-not for recordation.

QUINE INTELLECTUAL PROPERTY LAW GROUP
P.O. BOX 458, Alameda, CA 94501
Tel: 510 337-7871
Fax: 510 337-7877
PTO Customer No.: **22798**
Deposit Account No.: **50-0893**

Respectfully submitted,

Christopher C. Sappenfield
Reg. No: 45,073



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/523,659
Filing Date	February 4, 2005
First Named Inventor	Christopher N. Bowman
Title	POLYMER DERIVED CERAMIC MATERIALS
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	61-000210US

I hereby appoint:

☒ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:



22798

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Quine Intellectual Property Law Group, P.C.				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	DAVID N. ALLEN, PH.D.				
Signature	<i>David N. Allen</i>				
Date	15 AP 2005			Telephone	(303) 735-1688

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Deborah Berwick		
Signature	<i>Deborah Berwick</i>	Date	August 25, 2005



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Christopher N. Bowman, et al.
Application No.: 10/523,659 Filed: February 4, 2005
Entitled: POLYMER DERIVED CERAMIC MATERIALS
The Regents of the University of Colorado , a University
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☒ the assignee of the entire right, title, and interest; or
- 2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

- 1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
- 2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
- 3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

15 APR 2005
Date

David N. Allen
Signature

DAVID N. ALLEN, PH.D.
Typed or printed name

ASSOCIATE VICE PRESIDENT
Title



NOT FOR RECORDATION

Attorney Docket No.: 61-000210US
Client Reference No.: CU1024B

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Christopher N. Bowman, of 4321 Clay Commons Ct., Boulder, Colorado 80303, Neil Cramer of 4180 Evans Dr., Boulder, Colorado 80303, and Sirish Reddy of 2050 Athens Street, B3F, Boulder, Colorado 80302, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: POLYMER DERIVED CERAMIC MATERIALS

Filing Date: February 4, 2005

Application No.: 10/523,659; and

4/11/05 KSK
4/11/05 KSK
CNB
WHEREAS, The Regents of the University of Colorado, a University, located at 201 Regent Administrative Center, #SYS, Boulder, Colorado 80302, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

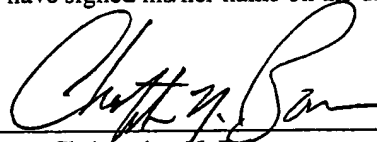
NOT FOR RECORDATION

Assignment
Application No.: 10/523,659
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated:

April 5th, 2005



Christopher N. Bowman

SIGNATURE WITNESSED BY:

(1) Date: 4-5-05



Signature of Witness

Dated:

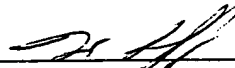
4-6-05



Neil Cramer

SIGNATURE WITNESSED BY:

(1) Date: 4-6-05



Signature of Witness

Dated:

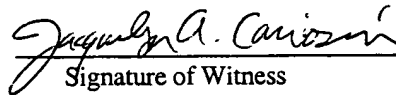
4-7-05



Sirish Reddy

SIGNATURE WITNESSED BY:

(1) Date: 4-7-05



Signature of Witness